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## On generalized p.p. rings

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## ON GENERALIZED P.P. RINGS

YASUYUKI HIRANO

A commutative ring  $R$  is called a *generalized p.p. ring* or for short, a *g.p.p. ring* if for each  $a$  in  $R$  there exists a positive integer  $n$  (depending on  $a$ ) such that  $a^n R$  is projective. In this paper we shall generalize the works of [1], [2], [4]. For instance, we prove that a commutative ring  $R$  is a g.p.p. ring if and only if  $R$  has a  $\pi$ -regular classical quotient ring  $Q$  and all idempotents in  $Q$  belong to  $R$  (Theorem 2);  $R$  is a g.p.p. ring if and only if  $R$  has a  $\pi$ -regular classical quotient ring and for each maximal ideal  $M$  of  $R$ ,  $R_M$  is primary (Theorem 5). Moreover, we shall treat with formal power series rings over  $R$  and their classical quotient rings, and prove that  $R$  is a g.p.p. ring (resp. p.p. ring) if and only if some (and every) subring of the classical quotient ring of  $R[[X_1, \dots, X_m]]$  containing  $R$  is a g.p.p. ring (resp. p.p. ring) (Theorem 8).

Before stating our results we introduce the notion and terminology used in this paper. Throughout this paper  $R$  will denote a commutative ring with 1.  $R$  is called  $\pi$ -regular if for each  $a$  in  $R$  there exists a positive integer  $n$  and an element  $x$  in  $R$  such that  $a^n = a^{2n}x$ . By  $Q(R)$  we denote the classical quotient ring of  $R$ . A ring  $R$  is said to be *quasi-regular* (resp. *quasi  $\pi$ -regular*) provided  $Q(R)$  is regular (resp.  $\pi$ -regular). If  $K$  is an ideal of  $R$ , the *radical* of  $K$ , denoted by  $\sqrt{K}$ , consists of all elements  $a$  of  $R$  such that  $a^t \in K$  for some positive integer  $t$ . Then  $K$  is called *primary* if  $xy \in K$ ,  $x \notin K$  implies  $y \in \sqrt{K}$ , and  $R$  is said to be *primary* if  $(0)$  is primary. By  $N(R)$  we denote the prime radical of  $R$  (i.e.,  $N(R) = \sqrt{(0)}$ ), and by  $E(R)$  the set of all idempotents in  $R$ . Given a subset  $S$  of the ring  $R$ ,  $\text{ann}_R(S)$  denotes the annihilator of  $S$  in  $R$ .

We first consider the conditions for  $R$  to have a  $\pi$ -regular classical quotient ring.

**Theorem 1.** *The following are equivalent :*

- 1)  $R$  is a quasi  $\pi$ -regular ring.
- 2) For each zero-divisor  $x \in R$ , there exists a positive integer  $n$  such that  $\text{ann}_R(x^n) = \text{ann}_R(x^{n+1})$  and the ring  $\text{ann}_R(x^n)$  contains a non-zero-divisor.
- 3) For each  $x \in R$ , there exists a positive integer  $n$  and a non-zero-divisor  $d \in R$  such that  $x^n d = x^{2n}$ .

*Proof.* 1)  $\Rightarrow$  2). Let  $x$  be an arbitrary zero-divisor in  $R$ . Since  $Q(R)$  is  $\pi$ -regular,  $x^n Q(R) = x^{n+1} Q(R)$  for some positive integer  $n$ . Then  $\text{ann}_R(x^n) = \text{ann}_{Q(R)}(x^n Q(R)) \cap R = \text{ann}_{Q(R)}(x^{n+1} Q(R)) \cap R = \text{ann}_R(x^{n+1})$ . By the above, there is an element  $y \in Q(R)$  such that  $x^n = x^{2n}y$ . Then  $e = 1 - x^n y$  is a non-zero idempotent and  $\text{ann}_{Q(R)}(x^n) = eQ(R)$ . Let  $e = cd^{-1}$ ,  $c, d \in R$ . Then  $c$  is a non-zero-divisor of the ring  $\text{ann}_R(x^n)$ .

2)  $\Rightarrow$  3). If  $x$  is a non-zero-divisor in  $R$  then we can take  $x^n$  as  $d$  in 3), and so we assume that  $x$  is a zero-divisor. Choose a non-zero-divisor  $z$  of  $\text{ann}_R(x^n) = \text{ann}_R(x^{n+1})$ . We shall show that  $x^n + z$  is a non-zero-divisor in  $R$ . Let  $a \in \text{ann}_R(x^n + z)$ . Then  $ax^{2n} = a(x^n + z)x^n = 0$ . Since  $\text{ann}_R(x^n) = \text{ann}_R(x^{2n})$ , we see that  $a \in \text{ann}_R(x^n)$  and hence  $az = 0$ . But  $z$  is a non-zero-divisor of  $\text{ann}_R(x^n)$ , and so  $a = 0$ .

3)  $\Rightarrow$  1). Since  $d$  is invertible in  $Q(R)$ , it holds that  $x^n Q(R) = x^{2n} Q(R)$ . This implies that  $Q(R)$  is  $\pi$ -regular.

Next we shall generalize [2, Theorem 3.4] and [4, Theorem 1.3].

**Theorem 2.** *The following are equivalent :*

- 1)  $R$  is a g.p.p. ring.
- 2)  $R$  is quasi  $\pi$ -regular and  $E(Q(R)) = E(R)$ .

*Proof.* 1)  $\Rightarrow$  2). Let  $x$  be an arbitrary zero-divisor in  $R$ . Then  $x^n R$  is projective for some positive integer  $n$ . It is easy to see that  $x^n R$  is projective if and only if  $\text{ann}_R(x^n) = eR$  for some  $e \in E(R)$ . We show  $\text{ann}_R(x^{n+1})$ . If  $a \in \text{ann}_R(x^{n+1})$ , then  $ax \in \text{ann}_R(x^n) = eR$ , and so  $ax = axe$ . Thus  $x^n a = x^{n-1} xa = x^{n-1} xae = x^n ea = 0$ . Therefore by Theorem 1  $R$  is quasi  $\pi$ -regular. To prove  $E(Q(R)) = E(R)$ , let  $f \in E(Q(R))$ . Then we can write  $f = cd^{-1}$  for some  $c, d \in R$ . By hypothesis,  $\text{ann}_R(c^m) = gR$  for some  $m$  and for some  $g \in E(R)$ . Since  $fQ(R) = c^k Q(R)$  for any positive integer  $k$ , we can easily see  $f = 1 - g \in E(R)$ .

2)  $\Rightarrow$  1). Let  $x \in R$ . Since  $Q(R)$  is  $\pi$ -regular, there is an element  $y \in Q(R)$  and a positive integer  $n$  such that  $x^n = x^{2n}y$ . Then by hypothesis the idempotent  $e = x^n y$  is in  $R$  and hence  $\text{ann}_R(x^n) = \text{ann}_{Q(R)}(x^n) \cap R = (1 - e)Q(R) \cap R = (1 - e)R$ .

**Corollary 3.** *The following are equivalent :*

- 1)  $R$  is a g.p.p. ring which contains no infinite set of orthogonal idempotents.
- 2)  $R$  is a finite direct sum of primary rings.

We now consider the relationship between g.p.p. rings and p.p. rings. It is not difficult to see that  $R$  is a p.p. ring if and only if  $R$  is a reduced g.p.p. ring. More generally we have the following.

**Proposition 4.** *If  $R$  is a g.p.p. ring then  $R/N(R)$  is a p.p. ring.*

*Proof.* Let  $x$  be an arbitrary non-nilpotent element in  $R$ . By hypothesis there exists a positive integer  $n$  and a non-zero-divisor of  $(1-e)R$ . Let us set  $\bar{R} = R/N(R)$ . We shall show that  $\bar{x}^n = x^n + N(R)$  is a non-zero-divisor of  $(1-\bar{e})\bar{R}$ . If  $d \in (1-e)R$  and  $dx^n \in N(R)$ , then  $(dx^n)^m = 0$  for some positive integer  $m$ . Since  $x^n$  is a non-zero-divisor of  $(1-e)R$ , we see  $d^m = 0$ , that is  $d \in N(R)$ . Thus  $\bar{x}^n$  is a non-zero-divisor of  $(1-\bar{e})\bar{R}$ , which implies that  $\text{ann}_{\bar{R}}(\bar{x}^n) = \bar{e}\bar{R}$ . Since  $\bar{R}$  is reduced, we can easily see that  $\text{ann}_{\bar{R}}(\bar{x}) = \text{ann}_{\bar{R}}(\bar{x}^n)$ . In consequence, we have proved  $\text{ann}_{\bar{R}}(\bar{x}) = \bar{e}\bar{R}$ .

**Remark.** Suppose  $R$  is quasi  $\pi$ -regular. Then, using Theorem 1, we can also prove that  $R/N(R)$  is quasi-regular.

The next corresponds to [1, Proposition 1].

**Theorem 5.** *The following are equivalent :*

- 1)  $R$  is a g.p.p. ring.
- 2)  $R$  is quasi  $\pi$ -regular and for each maximal ideal  $M$  of  $R$ ,  $R_M$  is a primary ring.

*Proof.* 1)  $\Rightarrow$  2). By Theorem 2,  $R$  is quasi  $\pi$ -regular and  $E(Q(R)) = E(R)$ . Let  $M$  be a maximal ideal of  $R$ , and set  $K = \{a \in R \mid sa = 0 \text{ for some } s \in R - M\}$ . For each  $e \in E(Q(R)) (= E(R))$ , either  $e \in R - M$  or  $1 - e \in R - M$ . Thus either  $1 - e \in K$  or  $e \in K$ . Since  $Q(R)$  is  $\pi$ -regular, we can easily see that  $KQ(R)$  is a primary ideal of  $Q(R)$ . Combining this with  $KQ(R) \cap R = K$ , we also see that  $K$  is primary. If  $S$  denotes the canonical image of  $R - M$  in  $\bar{R} = R/K$ , each element of  $S$  is a non-zero-divisor and  $R_M$  is isomorphic to the localization of  $\bar{R}$  by  $S$ . Therefore, since  $\bar{R}$  is primary,  $R_M (\simeq \bar{R}_S)$  is primary.

2)  $\Rightarrow$  1). Let  $M$  be a maximal ideal of  $R$ , and define  $K$  in the same way as above. We show that  $K$  is a primary ideal of  $R$ . Given  $a, b \in R$  such that  $ab \in K$ . Then, by the definition of  $K$ , we see  $\bar{a}\bar{b} = 0$  in  $R_M$ . Since  $R_M$  is primary, either  $\bar{a} = 0$  or  $\bar{b} \in N(R_M)$ , and so either  $a \in K$  or  $b \in \sqrt{K}$ . Thus we have shown that  $K$  is primary. Since  $KQ(R) \cap R = K$ , we can easily see that  $KQ(R)$  is a primary ideal of  $Q(R)$ . Therefore,

for each  $e \in E(Q(R))$ , either  $e \in KQ$  or  $1-e \in KQ$ . If  $e \in KQ$ , then  $se = 0$  for some  $s \in R-M$ . On the other hand, if  $1-e \in KQ$  then  $s'(1-e) = 0$  for some  $s' \in R-M$ , that is,  $s'e = s'$ . Now we show that  $e$  is in  $R$ . Let  $T = \{a \in R \mid ae \in R\}$ . As we have just seen above, there is no maximal ideal which contains  $T$ . Thus  $T = R$ , and hence  $e \in R$ , proving our assertion. Therefore, by Theorem 2,  $R$  is a g.p.p. ring.

Finally, we shall investigate formal power series rings and their classical quotient rings. We begin with some preliminary results.

**Lemma 6.** *Let  $R((X)) = \{\sum_{n=r}^{\infty} a_n X^n \mid a_n \in R, r \in \mathbb{Z}\}$ . Then it holds that  $E(R((X))) = E(R)$ .*

*Proof.* We first show that if  $e = a_0 + a_1 X + \cdots$  is an idempotent then  $e \in R$ . Suppose to the contrary  $e \notin R$ , and let  $n$  be the smallest positive integer such that  $a_n \neq 0$ . Then we obtain  $a_0^2 = a_0$  and  $a_n = 2a_0 a_n$ . From these we see that  $2a_0 a_n = (2a_0)^2 a_n = 4a_0 a_n$ , and hence  $a_n = 2a_0 a_n = 0$ , a contradiction.

Next we shall prove that if  $e = a_m X^m + \cdots + a_0 + a_1 X + \cdots$  ( $m \leq 0$ ) is an idempotent then  $e$  is in  $R$ . We proceed by induction on  $m$ . As we have done, our assertion is true for  $m = 0$ . So we may assume that our assertion is true for  $m \geq k+1$ . In case  $m = k$ , we consider the ring  $(R/(a_k))((X))$  and the canonical image  $\bar{e}$  of  $e$ . Then, by induction hypothesis, we conclude that  $a_i \in (a_k)$  for all  $i \neq 0$ . Since  $e$  is an idempotent, we get  $a_k^2 = 0$ , and hence  $a_k = \sum_{i=k}^0 a_{k-i} a_i = 2a_k a_0$  and  $a_0 = \sum_{i=k}^{-k} a_i a_{-i} = a_0^2$ . Therefore we have  $a_k = 2a_k$ , namely  $a_k = 0$ , and hence  $a_i = 0$  for all  $i \neq 0$ .

**Lemma 7.** *If  $R$  is quasi  $\pi$ -regular (resp. quasi-regular), then so is every intermediate ring containing  $E(Q(R))$  between  $R$  and  $Q(R)((X))$ .*

*Proof.* First we show that  $Q = Q(R)((X))$  is  $\pi$ -regular. Let  $P$  be an arbitrary proper prime ideal of  $Q$ . Then  $P' = P \cap Q(R)$  is a prime ideal of  $Q(R)$  and  $Q(R)/P'$  is a field. Hence,  $Q/P'Q \simeq (Q(R)/P')((X))$  is a field, and so  $P$  coincides with the maximal ideal  $P'Q$ . Thus,  $Q$  is  $\pi$ -regular (see, e.g., [3, Corollary 4]).

Next, let  $S$  be an intermediate ring between  $R$  and  $Q$ . Then for each  $s \in S$  there exists a positive integer  $n$  and  $d \in Q$  such that  $s^{2n}d = s^n$ . By Lemma 6,  $e = s^n d \in Q(R)$ . Then,  $s^n + 1 - e$  is a non-zero-divisor of  $S$  and  $s^n(s^n + 1 - e) = s^{2n}$ . Hence,  $S$  is quasi  $\pi$ -regular by Theorem 1.

We can now prove the following

**Theorem 8.** *Let  $m$  be a positive integer. A commutative ring  $R$  is a g.p.p. ring (resp. p.p. ring) if and only if  $E(Q(R)) = E(R)$  and some (and every) intermediate ring between  $R$  and  $Q(R)((X_1, \dots, X_m))$  is a g.p.p. ring (resp. p.p. ring).*

*Proof.* Suppose  $R$  is a g.p.p. ring, and let  $S$  be an intermediate ring between  $R$  and  $Q = Q(R)((X_1, \dots, X_m))$ . Then  $S$  is quasi  $\pi$ -regular by (Theorem 2 and) Lemma 7, and  $E(Q(S)) = E(S) (= E(R))$  by Lemma 6. Therefore  $S$  is a g.p.p. ring by Theorem 2.

Conversely, assume that a subring  $S$  of  $Q$  containing  $R$  is a g.p.p. ring. Let  $r$  be an arbitrary element of  $R$ . Then there exists a positive integer  $n$  and  $e \in E(S)$  such that  $\text{ann}_S(r^n) = eS$ . Since  $e \in E(R)$  by Lemma 6, we have  $\text{ann}_R(r^n) = \text{ann}_S(r^n) \cap R = eS \cap R = eR$ . Therefore  $R$  is a g.p.p. ring.

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